

## **E4. Gene expression profiles: What the clinicians need to know**

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### **Introduction**

Prognostic and predictive factors play an important role in the treatment of breast cancer. While many statistically significant associations have been identified, unfortunately not many of these associations are sufficiently strong to be also clinically useful [1].

Genome-wide monitoring of gene expression using DNA microarrays makes it possible to study thousands of genes in a tumour sample in a single experiment. By looking for an association between the gene expression pattern and tumour behaviour, it should be possible to identify new prognostic and predictive factors.

Microarray-based expression profiling has shown promise with the preliminary demonstration that clustering techniques can predict clinical outcome in various malignancies, including malignant lymphoma [2,3], leukaemia [4], prostate cancer [5,6], malignant melanoma [7], lung cancer [8,9] and breast cancer [10]. Data in breast cancer have demonstrated the ability of microarray-based expression profiling to predict disease-free survival and overall survival from profiles in breast cancer surgical specimens [11–13].

### **What is a DNA microarray?**

A microarray is an orderly arrangement of known or unknown DNA samples attached to a solid support. One array may contain many thousands of spots and can be obtained by a number of different methods. The probes attached to the solid support can be cDNAs, oligonucleotides of varying length or genomic sequences [14,15]. The target sequence hybridised to probes on the array may be radioactively or fluorescently labelled.

Technically, it is possible to analyse all estimated 30,000–40,000 genes in the human genome for their expression. It is also to be expected that the function of the proteins encoded by many of these genes will be elucidated in the coming years. A major advantage of microarray analysis is that specific properties of cells can be recognised by the expression level of a large set of genes. This has been operationally defined as “expression signatures” [16].

### **Data analysis: unsupervised and supervised classification**

The main methods to identify categories of tumours based on gene expression profiles are unsupervised and supervised classifications. With supervised methods, clinical or pathological information is used to find correlations with gene expression patterns. With unsupervised methods, the tumours are grouped on the basis of gene expression pattern; the main unsupervised method used is two-dimensional hierarchical cluster analysis.

A mathematical algorithm termed hierarchical clustering is used to order the gene expression data. Both the tumours and the genes are ordered according to similarities in regulation of the genes. Thus, gene expression analysis can be used to subclassify tumours on the basis of hierarchical cluster analysis in specific subgroups. Several “signatures” can be analysed that reveal specific properties of the tumour cells; and the contribution of non-tumour cells to the tumour mass. It is to be expected that the hierarchical cluster analysis will become more sophisticated; and that an increasing number of “signatures” can be recognised, making microarray data on tumour samples in relation to clinical behaviour an increasingly powerful approach.

To find gene expression patterns that can predict the clinical behaviour of tumours, it is more appropriate to use a supervised method that makes distinctions among the specimens on the basis of predefined clinical and pathological information. Several methods for supervised classification have been developed; for a brief overview of such techniques: see Ref. [17]. Fundamental to each of these techniques is that a combination of genes is identified, whose expression can predict tumour behaviour (for example: risk of developing distant metastases; responsiveness to specific forms of chemotherapy). After the identification of a predictive gene expression pattern by supervised classification, validation of the signature in a sufficiently large patient series is essential.

### **Correlation studies of gene expression in clinical breast cancer samples**

For clinical use of gene expression profiling, the main aim is to identify gene expression profiles associated with specific clinical endpoints. When such gene expression

profiles are identified, the next step will be to implement gene expression profiling in the diagnostic process for breast cancer patients.

The first large series of clinical breast cancer samples was reported by Perou and colleagues who obtained microarray portraits of a set of 65 surgical specimens of human breast tumours from 42 individuals [18]. A difference in gene expression pattern between oestrogen receptor (ER)-positive and ER-negative tumours has been found in several studies [19–21].

To date, only few studies on larger series of patients have been performed, limiting the use of gene expression profiling to the research setting. As a result of the large number of datapoints for each tumour, results of small studies need to be interpreted with caution, because statistical flaws can easily result in the identification of gene expression patterns that cannot be reproduced in independent patient series. With these caveats in mind, in the coming years new diagnostic tests for the prediction of breast cancer behaviour will undoubtedly emerge. The treatment of breast cancer is becoming complex, requiring a growing number of choices and decisions. In the future, these choices and decisions in individual patients can be tailored based on clinical, pathological and gene expression parameters.

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